



HISTORICAL GERMANIC PHONOLOGY & MORPHOLOGY

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OUTLINE

- 1 HT3 IE > Gmc > WGmc > OHG
- 2 HT4 Setting up underlying forms
- 3 TT1 The Germanic Foot
- 4 TT1 OS� and degemination
- 5 TT2 Development of stress from the middle period
- 6 TT2 Synchronic grammar

Zeit	Affrikaten	Plosive			Frikative (einschließlich h)			Sonore												
		Tenuis	Mediae	Mediae aspiratae				Liquidae	Nasale											
Vorgermanisch		p	t	k	b	d	g	b ^h	d ^h	g ^h	s	r	l	m	n					
1. Lautverschiebung (und Verners Gesetz)		p	t	k	b	d	g	f	þ	x	s	b	d	g	z	r	l	m	n	
Germanisch		p	t	k	b	d	g	f	þ	x	s					r	l	m	n	
2. Lautverschiebung (ca. 6.-8. Jh. n. Chr.)		pf	z [ts]	(k)ch [kx]	p/pp	t/tt	k/kk	b	d,th	g	ff/f	zz/z	ch	h	s/ss	s+k	r	l	m	n
Ahd.		pf	z [ts]	(k)ch [kx]	p/pp	t/tt	k/kk	b	d,th	g	ff/f	zz/z	ch	h	s/ss	s+k	r	l	m	n
Mhd.		pf	z [ts]	kch, ch (nur obd.)	p/pp	t/tt	k/kk	b	d	g	ff/f	zz/z	ch	h	s/ss	sch	r	l	m	n
Nhd.		pf	z [ts]		p	t	k	b	d	g	f	ch	h	s	[z]	sch	r	l	m	n

IE LANGUAGE FAMILY

Hittite

Celtic Breton, Irish, Celtic

Indo Aryan Sanskrit, Prakrit; Assamese, Bengali, Gujarathi, Hindi, Marathi, Punjabi

Iranian Old Persian, Avestan; Persian

Greek Homeric Greek, Classical Greek; Greek

Italo-Romance Latin, Gallo-Romance, Ibero-Romance etc; French, Italian, Portuguese, Romanian, Spanish

Balto-Slavonic Old Church Slavonic

Germanic Gothic, Old English, Old High German, Old Saxon, Old Norse etc.

Tocharian

Armenian

Albanian

IE → PROTO-GERMANIC

Grimm's Law

Indo-European obstruents (without the laryngeals)

Proto-Germanic phonemes

p	t	k	f	θ, s	x
b	d	g	p	t	k
b ^h	d ^h	g ^h	b	d	g
	s				

/θ/ is written <þ> in older texts

IE	Greek	Latin	Sansk.	Slav. OB	OHG	OE	Gothic
*p	poús	pēs	pad-, pāda	pešǫ	fuoz,	fōt	fōtus
	patér	pater	pitár		fater	fæðer	fadar
*t	treis	trēs	trayas		drī	þrī	
	tú	tū	tu-		dū	þū	þu
*k	kúon	canis			hunt	hund	hunds
	èkatón	centun	satem		hunt	hund	hund
		pecu			fihu	feoh	faíhu

IE	Greek	Latin	Sansk.	Slav. OB	OHG	OE	Gothic
*b	bel-tiōn 'better'		balam 'strong'				paida
				slabŭ	slāfan	slæpan	slēpan
*d	déka	decem	daśa		zehan	tien	taíhun
	édō	edō			ezzān	etan	itan
	pod-	ped-			fuoz,	fōt	fōtus
*g		gelu			kalt	ceald	kalds
	genu	genu	jānu		knio	cnēo	fōtus

IE *b ^h , *d ^h , *g ^h					
Greek	Latin	Sanskrit	OHG	OE	Gothic
phráter	frāter	bhrata	bruoder	brōþor	brōþar
phéron	ferō	bhar-	beran	beran	beran
		duhita	tohter	dohtor	daúhtar
		ni-dāghas	tag	dæg	dags
		*ni-dhāghas			
e-thēka-		dhā- 'to set'	toun	dōn	
		bandhana m	bintan	bindan	bindan
okhos		vah-			gavigan 'to pull'
		ni-dāghas	tag	dæg	dags
hosti	hostis		gast	giest	gast

GRIMM'S LAW

IE p/t/k	Germanic f/θ/x	
p ^r t ^u	ford	f
p ^u l ^o -	foal	f
t ^e n-	thin	θ
t ^o n ^ə -	thunder	θ
k ^r n-	horn	h/x
k ^w od	what OE hwæt	h/x

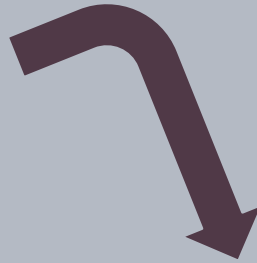
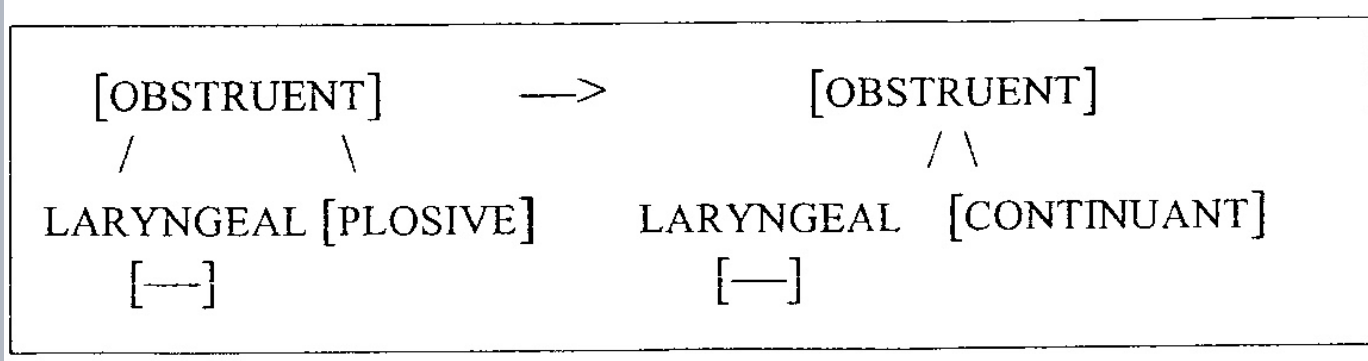
IE b/d/g	Germanic p/t/k	
ab ^e l-	apple	p
dr ^e w-	tree	t
dent-	teeth	t
gr ^ə no	corn	k
ag ^r o	acre	k

IE b ^h /d ^h /g ^h	Germanic b/d/g	
bhāgo	beech	b
bhibhru	beaver	b
dhwer	door	d
dheigh	dough	d
ghol-	gall	g
ghaido	goat	g

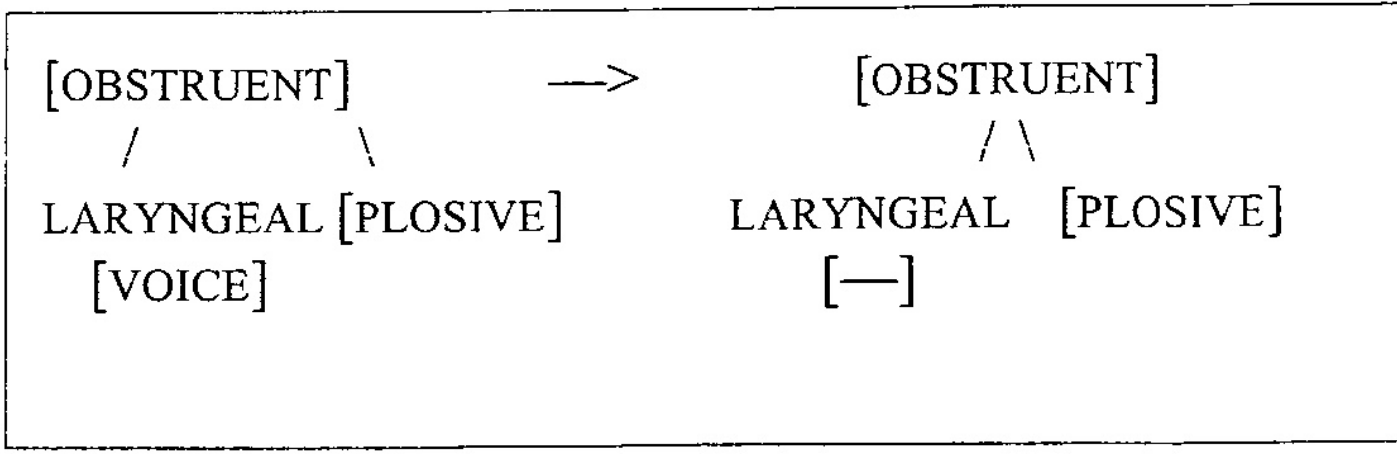
DESCRIPTION OF 'GRIMM'S LAW'

- Voiceless stops became voiceless fricatives when not preceded by /s/
- Voiced unaspirated stops became voiceless
- Voiced aspirated stops lost their aspiration
- /s/ remained unchanged

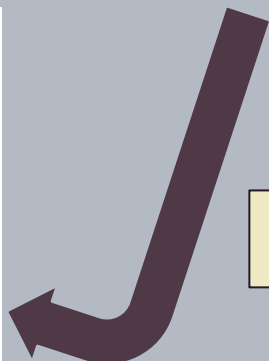
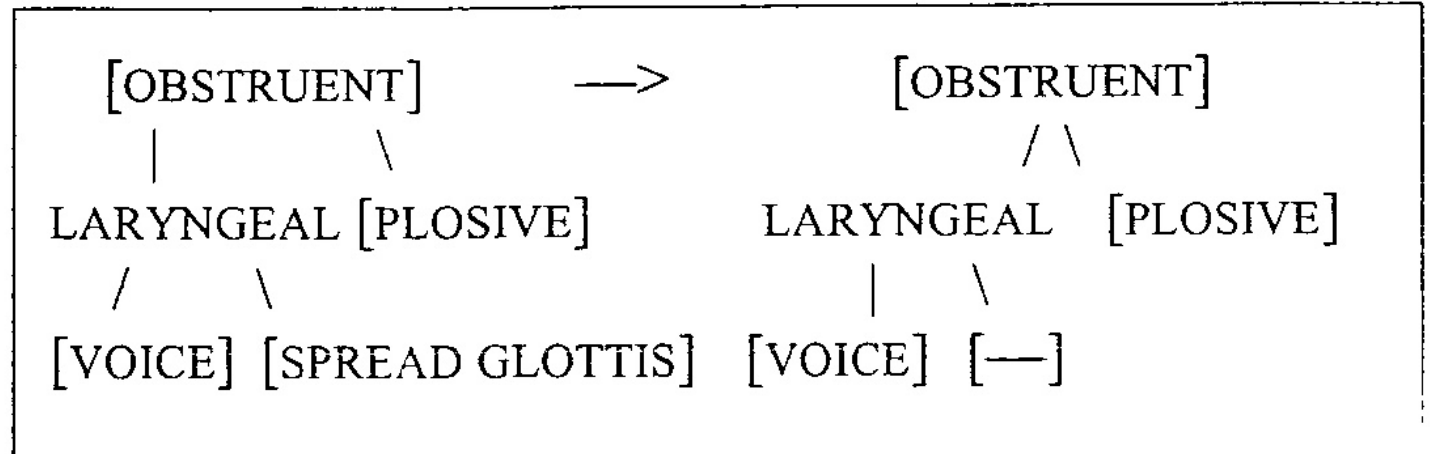
i. Voiceless stops become fricatives



ii. Voiced stops become voiceless



iii. Voiced aspirated stops lose aspiration



Ordering has to be i > ii > iii

CHANGES TO THE PROTO-GERMANIC PHONOLOGICAL SYSTEM

IE	p	t	k	b	d	g	b ^h	d ^h	g ^h	s
OBSTRUENT	√	√	√	√	√	√	√	√	√	√
PLOSIVE	√	√	√	√	√	√	√	√	√	
VOICE				√	√	√	√	√	√	
SPREAD GLOTTIS							√	√	√	
CONTINUANT										√

PGmc phonemes

p t k
b d g
f θ x
s

Proto-Germanic	p	t	k	b	d	g	f	θ	x	s	β	ð	ɣ
OBSTRUENT	√	√	√	√	√	√	√	√	√	√	√	√	√
PLOSIVE	√	√	√	√	√	√	√						
VOICE				√	√	√					√	√	√
SPREAD GLOTTIS													
CONTINUANT							√	√	√	√	√	√	√

EXCEPTIONS TO GRIMM'S LAW

Sometimes when you expect [f θ x s] we “unexpectedly” find [v ð γ z]...

c.f. Sanskrit *pitár* > *father* [faðer] - why not [faθer]?

ABLAUT “STRONG” VERBS

In Germanic the stress alternation, clearest in verbs, was as follows:

Infinitive	1/3 Past sg	Past plural	Past Participle
'V C V	'V C V	V C 'V	V C 'V

A large number of verbs showed the following pattern after Grimm's Law

Infinitive	1/3 Past sg	Past plural	Past Participle
'V θ V	'V θ V	V ð 'V	V ð 'V
'V s V	'V s V	V z 'V	V z 'V
'V x V	'V x V	V γ 'V	V γ 'V

VERNER'S LAW

Voiceless fricatives become voiced when the preceding vowel does not bear main stress.

C → C / V __ (V) 'V
[CONT] [VOICE]

VERNER'S LAW

	Inf	Past Sg	Past Pl	Past Part
IE	'dewkono	'dowke	dwk'nt	dw'kono
PGmc I (GL)	'tewxana	'tawxe	tu'xunþ	tu'xana
PGmc II (VL)	'tewxana	'tawxe	tu'ɣunþ	tu'ɣana
PGmc II (Stress shift)	'tewxana	'tawxe	'tuɣunþ	'tuɣana

IE *sneyt- 'cut'

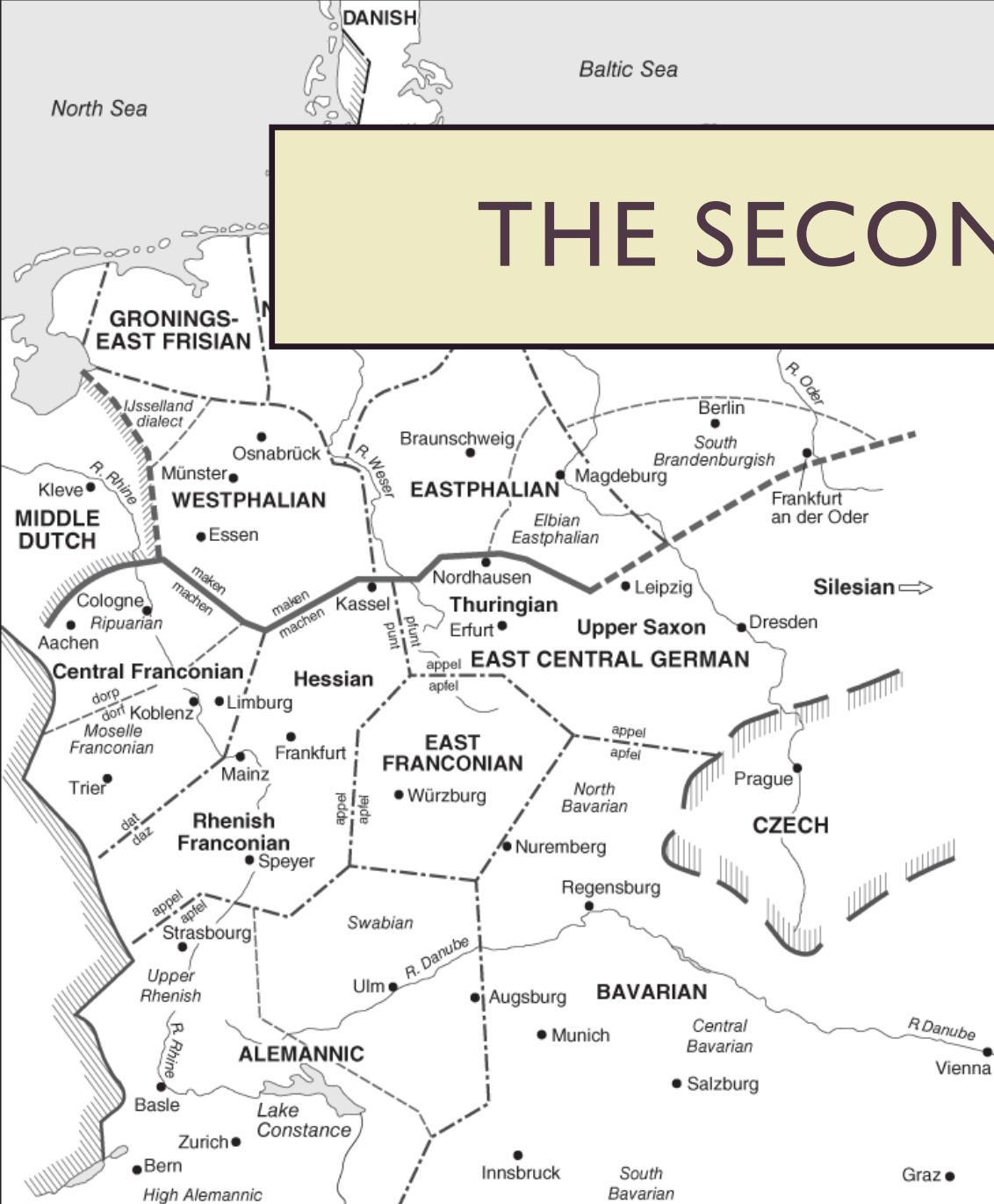
	Inf	Past Sg	Past Pl	Past Part
IE	' -t-	' -t-	-t- '	-t- '
PGmc I (GL)	*sn'ī p an	*sn'a i p	*snī p 'um	*snī p an'az
PGmc II (VL)	*sn'ī p an	*sn'a i p	*snī ð 'um	*snī ð an'az
PGmc II (Stress shift)	*sn'ī p an	*sn'a i p	*sn'ī d um	*sn'ī d anaz
Pre-OHG	*snī p an	*sne i p	*sn i tum	*gisn i tan
OHG	snī d an	sne i d	sn i tum	gisn i tan
MHG	snî d en	sne i t	sn i ten	gesn i ten

WEST GERMANIC CONSONANT GEMINATION

C → CC / V__j

sitzen [ts]	saz [s]	sâzen [s]	gesezzen [ss]	*sittjan (< *sit-jan)	sit
schepfen	schuof	schuofen	geschaffen	*skapjan	create
wachen [xx]			*wakēn		be awake
wecken (UG [kx])			*wakkjan (< *wak-jan)		wake up, awaken

THE SECOND SOUND SHIFT



(i) Voiceless Stops

In initial position, after a C or if the WGmc stop was geminate:

$/*p,*t,*k/ \rightarrow /pf,ts,kx/$

Else:

$/*p,*t,*k/ \rightarrow /ff,ss,xx/$

(ii) Voiced Stops

$/*b,*d,*g/ \rightarrow /p,t,k/$

/*p, *t, *k/

OS	plegan	giskapan	tiohan	gisetan	korn	wakôn
	kamp	skôpun	herta	sâtun	werk	têkan
	skeppian	skôp	sittian	sat	wekkian	ik
OHG (Bav./Alem.)	pflegen	giskaffan	ziohan	gisezzan	khorn	wahhên
	kampf	skuof(f)um	herza	sâz(z)um	werch	zeih(h)an
	schepfen	skuof	sitzen	saz	wecchen	ih

N.B. */*p/* > */pf/* only UG, */*k/* > */kx/* only Bav. & Alem.

/*b,*d,*g/

OS	beran	dohtar	gast	sibbia	biddian	hruggi
	ge[β]an	bindan	ôga			
OHG (Bav./Alem.)	peran	tohter	kast	sippa	pitten	rukki
	kepan	pintan	ouca			
MHG	bern	tohter	gast	sippe	bitten	rucke
	geben	binden	ouge			

WGmc	*/d/	*/b/	*/g/
Pre-OHG	*/d/	*/b/	*/g/
OUG	/t/	/p/	/k/
MUG	/t/	/b/ (also initial /p/)	/k/

IE > Gmc > WGmc > OHG (simplified)

IE	Latin	A. Greek	Gmc	Gothic	OE	OHG (UG)
p	p	p	f	f	f	f
t	t	t	θ	θ	θ	d
k	k	k	x	x	x	x
b	b	b	p	p	p	pf, ff
d	d	d	t	t	t	ts, ss
g	g	g	k	k	k	k(kx), xx
b ^h	p ^h > f, b	p ^h	b	b	b	b(p)
d ^h	t ^h > f, b, d	t ^h	d	d	d	t
g ^h	k ^h > h, g, f	k ^h	g	g	g	g(k)

SOUND CHANGE AND PHONOLOGICAL CHANGE

(a) What can phonological rules/constraints do?

- Addition (essentially sound change)
- Loss
- Reordering

(b) What units are involved in phonological rules which may undergo change?

- Features
- Moras
- Syllables
- Feet – involving metrical stress

All of the above can lead to **reanalysis or restructuring of the stem**

Zeit	Affrikaten	Plosive			Frikative (einschließlich h)			Sonore												
		Tenuis	Mediae	Mediae aspiratae				Liquidae	Nasale											
Vorgermanisch		p	t	k	b	d	g	b ^h	d ^h	g ^h	s	r	l	m	n					
1. Lautverschiebung (und Verners Gesetz)		p	t	k	b	d	g	f	þ	x	s	b	d	g	z	r	l	m	n	
Germanisch		p	t	k	b	d	g	f	þ	x	s					r	l	m	n	
2. Lautverschiebung (ca. 6.-8. Jh. n. Chr.)		pf	z [ts]	(k)ch [kx]	p/pp	t/tt	k/kk	b	d,th	g	ff/f	zz/z	ch	h	s/ss	s+k	r	l	m	n
Mhd.		pf	z [ts]	kch, ch (nur obd.)	p/pp	t/tt	k/kk	b	d	g	ff/f	zz/z	ch	h	s/ss	sch	r	l	m	n
Nhd.		pf	z [ts]		p	t	k	b	d	g	f	ch	h	s	[z]	sch	r	l	m	n

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